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09/863,392	05/24/2001	Daigo Sasaki	088475-0118	1510

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FOLEY AND LARDNER  
SUITE 500  
3000 K STREET NW  
WASHINGTON, DC 20007

EXAMINER
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EDWARDS, PATRICK L

ART UNIT	PAPER NUMBER
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2621

DATE MAILED: 06/03/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/863,392

Applicant(s)

SASAKI, DAIGO

Examiner

Patrick L. Edwards

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 16 March 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

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## DETAILED ACTION

### *Continued Examination Under 37 CFR 1.114*

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 16 March 2005 has been entered.

### *Response to Arguments*

2. The applicant's arguments, filed on 16 March 2005, have been fully considered. A response to these arguments is provided below.

### **Claim Objections**

#### Summary of Argument:

Applicant has amended the claim language to overcome the objections set forth in the prior action, and now argues that those objections should be withdrawn.

#### Examiner's Response:

The examiner agrees. The prior objections are withdrawn.

### **Prior Art Rejections**

#### Summary of Argument:

1. Previously-dependent claims 2, 7, 12, 17, and 24 have been amended and placed in independent form. Applicant argues that claims 2, 7, 12, 17, and 24 are allowable because the cited references do not disclose the required median filter.

2. Applicant has also amended independent claims 1, 6, 11, 16, 23, and 28 to recite that the "target pixel has a filtered pixel value equal to or most closely equal to a mean value of the pixel values within the local area." Applicant argues that this amendment overcomes the 102 rejection with Hayashi (see remarks pg. 15), and is not disclosed in any of the remaining cited references—including Kundu and Kishimoto (see remarks pgs. 15-16). In support of this argument, applicant alleges that the amended claims now recite "a specific type of median filter."

#### Examiner's Response:

1. Applicant's arguments are unpersuasive. Even if we assume, *arguendo*, that the none of the cited references disclosed a median filter, the claim would still not be allowable over the prior art. A median filter is very well known in the art and is commonly used in filtering situations. Such a discussion, however, is academic. Kundu

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plainly discloses median filtering in several different passages throughout the reference. Applicant is invited to look through Kundu to find all the disclosed examples of median filtering. Only one will be cited (col. 8 lines 1-10).

2. Applicant's arguments are unpersuasive. The assertion that the amended claims now recite "a specific type of median filter" is erroneous. Median is a very specific word. A median filter is a very specific type of filter. The "median" is the middle value in a distribution of values. This is a well known statistics term with one unambiguous meaning. It doesn't need to be read in context, and no extrinsic evidence is required for its interpretation. Based on this plain, unambiguous meaning, one skilled in the art knows that it is neither the mean value, nor a value most closely equal to the mean value. Accordingly, the term 'mean' will be interpreted as just that.

Regarding this claimed term, the Hayashi reference does indeed teach the limitation in question. Hayashi teaches a picture signal which is filtered by a weighted mean (col. 5 lines 43-46).

#### *Claim Rejections - 35 USC § 112*

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

4. Claims 1, 6, 11, 16, 23, and 28 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding these independent claims, the metes and bounds of the phrase "equal to or most closely equal to a mean value" are not clear. How can a value be closely equal to a mean value? A value is either equal to another value, or its not. There are only two options.

Perhaps applicant means to say that the value is close to being equal to a mean value? If this is the case, then how close does it have to be? Same ballpark? Is 'closely' different from 'more closely' which is in turn different from 'most closely'? How so?

Appropriate correction is required.

Claims 3-5, 8-10, 13-15, 18-22, and 25-27 are rejected because they depend from an indefinite claim.

#### *Claim Rejections - 35 USC § 102*

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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6. Claims 1, 11, and 23 are rejected under 35 U.S.C. 102(b) as being anticipated by Hayashi et al. (USPN 6,041,145).

With regard to claim 11, which is representative of claim 1, Hayashi discloses a means for defining a local area in an input image which includes a target pixel and neighboring pixels surrounding the target pixel (col. 5 lines 45-49).

Hayashi further discloses a filter for applying a filtering operation to the target pixel and the neighboring pixels in the local area. This filtering operation is such that the target pixel has one of pixel values included in the local area (col. 5 lines 43-50: The smoothing filter disclosed in Hayashi filters the target pixel in a local area. This filter is a weighted local mean, which qualifies as the claimed "mean value or most closely equal to a mean value." This filtering operation is done over all the pixels in the entire image (col. 16 lines 39-41). Hayashi further discloses an image mixer for mixing the filtered image and the input image together at a specific mixing ratio in order to form an output image (col. 7 lines 5-11).

With regard to claim 23, a computer-readable recording medium that stores a program which causes the computer to execute the steps of a method is essential if the image processing method disclosed in Hayashi is to function. Therefore, a computer-readable recording medium is inherent in the teachings of Hayashi.

#### *Claim Rejections - 35 USC § 103*

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. Claims 6, 16, 22 and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hayashi et al. (USPN 6,041,145) in view of Kitamura (USPN 4,703,363). The arguments as to the relevance of Hayashi as applied in paragraph 8 above are incorporated herein.

With regard to claim 16, which is representative of claim 6, Hayashi discloses a smoothing filter, but fails to expressly disclose that the smoothing filter provides filtered image which has a jaggy different in phase from a jaggy in the input image, and that the jaggies in the input image are suppressed by the filtering operation.

Kitamura, however, discloses a smoothing filter which suppresses jaggies in an image (Kitamura col. 2 lines 1-5). The smoothing filter of Kitamura produces an output image in which the jaggies of the input image are smoothed. Consequently we can say that this output image has jaggies different in phase from the jaggies in the input image. It would have been obvious to one reasonably skilled in the art at the time of the invention to modify Hayashi's smoothing filter by using the smoothing filter to remove jaggies in an image as taught by Kitamura. Such

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a modification would have allowed for a system that applied a smoothing filter for the purpose of removing jaggies in an image (Kitamura col. 2 lines 1-5).

With regard to claim 22, Kitamura further recites a system for removing jaggies (Kitamura col. 2 lines 1-5) in an image which is displayed on a display apparatus (Kitamura col. 1 lines 19-21). As a result, Kitamura discloses a display controlling apparatus in that he teaches controlling the images which are displayed on the display apparatus.

With regard to claim 28, a computer-readable recording medium that stores a program which causes the computer to execute the steps of a method is essential if the image processing method disclosed in the combination of Hayashi and Kitamura is to function. Therefore, a computer-readable recording medium is inherent in the teachings of Hayashi and Kitamura.

9. Claims 2, 12 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hayashi as applied to claims 1, 11 and 23 above, and further in view of Kundu et al. (USPN 5,218,649). The arguments as to the relevance of Hayashi as applied in paragraph 8 above are incorporated herein.

With regard to claim 12, which is representative of claim 2, Hayashi discloses a filter, but fails to expressly disclose that the filter is a median filter. Kundu, however discloses a filter for removing jaggies (or staircasing) from an image (Kundu col. 3 lines 40-41) by utilizing a median filtering operation in which the pixel having a median value of density values of all the pixels in the local area is extracted and used for forming the filtered image (Kundu col. 8 lines 1-5). It would have been obvious to one reasonably skilled in the art at the time of the invention to modify Hayashi's filter by specifying that the filter is a median filter as taught by Kundu. Such a modification would have allowed for the use of a filter that can be used in order to remove jaggies (or staircasing) from an image (Kundu col. 3 lines 40-41).

10. Claims 7 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Hayashi and Kitamura as applied to claims 6 and 16 above, and further in view of Kundu et al (USPN 5,218,649). The arguments as to the relevance of Hayashi and Kitamura as applied in paragraph 10 above are incorporated herein.

With regard to claim 17, which is representative of claim 7, the combination of Hayashi and Kitamura discloses a filter, but fails to expressly disclose that the filter is a median filter. Kundu, however discloses a filter for removing jaggies (or staircasing) from an image (Kundu col. 3 lines 40-41) by utilizing a median filtering operation in which the pixel having a median value of density values of all the pixels in the local area is extracted and used for forming the filtered image (Kundu col. 8 lines 1-5). It would have been obvious to one reasonably skilled in the art at the time of the invention to modify Hayashi and Kitamura's filter by specifying that the filter is a median filter as taught by Kundu. Such a modification would have allowed for the use of a filter that can be used in order to remove jaggies (or staircasing) from an image (Kundu col. 3 lines 40-41).

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11. Claims 4, 14 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hayashi as applied to claims 1, 11 and 23 above, and further in view of Cliquet (USPN 6,674,903). The arguments as to the relevance of Hayashi as applied in paragraph 8 above are incorporated herein.

With regard to claim 14, which is representative of claim 4, Hayashi fails to expressly disclose an interpolation processor for enlarging an original image at a specific enlarging ratio through interpolation to form the input image. Cliquet, however, discloses removing jaggies (or staircasing) from an image after it has been electronically enlarged (Cliquet col. 4 lines 22-24). Electronic images are enlarged by adding image (or pixel) information to an original image in order to form an enlarged image. These pixels are inherently added to the image by some form of interpolation processing. As a result, an interpolation processor is inherently disclosed in the teachings of Cliquet. It would have been obvious to one reasonably skilled in the art at the time of the invention to modify Hayashi's image processing system by including an interpolation processor as taught by Cliquet. Such a modification would have allowed for a system that could remove the jaggies from an image after it had been enlarged (Cliquet col. 4 lines 22-24).

With regard to claim 26, a computer-readable recording medium that stores a program which causes the computer to execute the steps of a method is essential if the image processing method disclosed in the combination of Hayashi and Cliquet is to function. Therefore, a computer-readable recording medium is inherent in the teachings of Hayashi and Cliquet.

12. Claims 9 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Hayashi and Kitamura as applied to claims 6 and 16 above, and further in view of Cliquet (USPN 6,674,903). The arguments as to the relevance of Hayashi and Kitamura as applied in paragraph 10 above are incorporated herein.

With regard to claim 19, which is representative of claim 9, the combination of Hayashi and Kitamura fails to expressly disclose an interpolation processor for enlarging an original image at a specific enlarging ratio through interpolation to form the input image. Cliquet, however, discloses removing jaggies (or staircasing) from an image after it has been electronically enlarged (Cliquet col. 4 lines 22-24). Electronic images are enlarged by adding image (or pixel) information to an original image in order to form an enlarged image. These pixels are inherently added to the image by some form of interpolation processing. As a result, an interpolation processor is inherently disclosed in the teachings of Cliquet. It would have been obvious to one reasonably skilled in the art at the time of the invention to modify Hayashi's image processing system by including an interpolation processor as taught by Cliquet. Such a modification would have allowed for a system that could remove the jaggies from an image after it had been enlarged (Cliquet col. 4 lines 22-24).

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13. Claim 21 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hayashi as applied to claim 11 above, and further in view of Kitamura (USPN 4,703,363). The arguments as to the relevance of Hayashi as applied in paragraph 8 above are incorporated herein.

Hayashi discloses a system for controlling an image, but fails to expressly disclose a displaying device for displaying the image. Kitamura, however, recites a system for removing jaggies (Kitamura col. 2 lines 1-5) in an image which is displayed on a display apparatus (Kitamura col. 1 lines 19-21). As a result, Kitamura discloses a display controlling apparatus in that he teaches controlling the images which are displayed on the display apparatus. It would have been obvious to one reasonably skilled in the art at the time of the invention to modify Hayashi's image processing system to include a display for displaying the processed image as taught by Kitamura. Such a modification would have allowed for a system in which the processed images could be displayed on a display apparatus (Kitamura col. 1 lines 18-21)

14. Claims 3, 13 and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hayashi as applied to claims 1, 11 and 23 above, and further in view of Kishimoto (USPN 6,339,479). The arguments as to the relevance of Hayashi as applied in paragraph 8 above are incorporated herein.

With regard to claim 13, which is representative of claim 3, Hayashi discloses a filtering operation in which an average value of density values in the local area is calculated, but fails to expressly disclose that one of the pixels having a nearest density value to the average value in the local area is extracted and used for forming the filtered image. Kishimoto, however, discloses a filter that uses a nearest neighbor method in which an average value of density values in a local area is calculated and one of the pixels having a nearest value to the average value in the local area is extracted and used as the output value (Kishimoto col. 1 lines 59-64). It would have been obvious to one reasonably skilled in the art at the time of the invention to modify the generic filter disclosed in Hayashi in order to specify that this filter is a type which outputs a nearest value to a local mean as taught by Kishimoto. Such a modification would have allowed for the utilization of a specific filtering method which is well known in the art.

With regard to claim 25, a computer-readable recording medium that stores a program which causes the computer to execute the steps of a method is essential if the image processing method disclosed in the combination of Hayashi and Kishimoto is to function. Therefore, a computer-readable recording medium is inherent in the teachings of Hayashi and Kishimoto.

15. Claims 8 and 18 rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Hayashi and Kitamura as applied to claims 6 and 16 above, and further in view of Kishimoto (USPN 6,339,479). The arguments as to the relevance of the combination of Hayashi and Kitamura as applied in paragraph 10 above are incorporated herein.

With regard to claim 18, which is representative of claim 8, the combination of Hayashi and Kitamura discloses a filtering operation in which an average value of density values in the local area is calculated, but fails to expressly disclose that one of the pixels having a nearest density value to the average value in the local area is



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extracted and used for forming the filtered image. Kishimoto, however, discloses a filter that uses a method in which an average value of density values in a local area is calculated and one of the pixels having a nearest value to the average value in the local area is extracted and used as the output value (Kishimoto col. 1, lines 59-64). It would have been obvious to one reasonably skilled in the art at the time of the invention to modify the generic filter disclosed in the combination of Hayashi and Kitamura in order to specify that this filter is a type which outputs a nearest value to a local mean as taught by Kishimoto. Such a modification would have allowed for the utilization of a specific filtering method which is well known in the art.

16. Claims 5, 15 and 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Hayashi and Cliquet as applied to claims 4, 14 and 26 above, and further in view of Woodson et al. (US PG PUB 2002/0122045). The arguments as to the relevance of the combination of Hayashi and Cliquet as applied in paragraph 13 above are incorporated herein.

With regard to claim 15, which is representative of claim 5, the combination of Hayashi and Cliquet discloses an interpolation processor for enlarging an original image to form an input image, but fails to expressly disclose that the mixing ratio is determined according to the enlarging ratio based on the interpolation performed in the interpolation processor. Woodson, however, discloses adjusting an alpha blending value on the basis of the interpolation value (Woodson paragraph [0010]). The alpha blending value disclosed in Woodson is analogous to the mixing ratio as recited in the claim. It would have been obvious to one reasonably skilled in the art at the time of the invention to modify the combination of Hayashi and Cliquet in order to include that the mixing ratio is based on the enlarging ratio as taught by Woodson. Such a modification would have allowed for a system which would perform proper mixing of a filtered and original image based on the amount the image was previously enlarged. This would have resulted in a system which applied to the proper amount of correction to edges and consequently would produce smooth edges in the processed image (Woodson paragraph [0010]).

With regard to claim 27, a computer-readable recording medium that stores a program which causes the computer to execute the steps of a method is essential if the image processing method disclosed in the combination of Hayashi, Cliquet and Woodson is to function. Therefore, a computer-readable recording medium is inherent in the teachings of the said combination.

17. Claims 10 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Hayashi, Kitamura and Cliquet as applied to claims 9 and 19 above, and further in view of Woodson et al. (US PG PUB 2002/0122045). The arguments as to the relevance of said combination as applied in paragraph 14 above are incorporated herein.

With regard to claim 20, which is representative of claim 10, the combination of Hayashi, Kitamura and Cliquet discloses an interpolation processor for enlarging an original image to form an input image, but fails to expressly disclose that the mixing ratio is determined according to the enlarging ratio based on the interpolation performed in the interpolation processor. Woodson, however, discloses adjusting an alpha blending value on the basis of the

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interpolation value (Woodson paragraph [0010]). The alpha blending value disclosed in Woodson is analogous to the mixing ratio as recited in the claim. It would have been obvious to one reasonably skilled in the art at the time of the invention to modify the combination of Hayashi, Kitamura and Cliquet in order to include that the mixing ratio is based on the enlarging ration as taught by Woodson. Such a modification would have allowed for a system which would perform proper mixing of a filtered and original image based on the amount the image was previously enlarged. This would have resulted in a system which applied to the proper amount of correction to edges and consequently would produce smooth edges in the processed image (Woodson paragraph [0010]).

### *Conclusion*

18. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patrick L. Edwards whose telephone number is (571) 272-7390. The examiner can normally be reached on 8:30am - 5:00pm M-F.

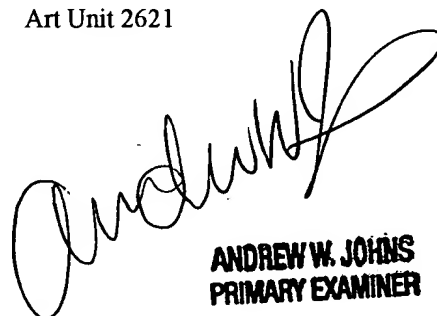
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joe Mancuso can be reached on (571) 272-7695. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Patrick L. Edwards

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**ANDREW W. JOHNS  
PRIMARY EXAMINER**